

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Masanori Ogawa and Kuninori Ito

Serial No.

Not yet assigned

Filed

Herewith

For

A MASKING MATERIAL

Int'l Appl. No.

PCT/JP2004/000516

Filed

21 January 2004

**Priority Date** 

Claimed

23 January 2003

COOPER & DUNHAM LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400 July 20, 2005

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

#### **EXPRESS MAIL CERTIFICATE OF MAILING** FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV553658756US

Date of Deposit: July 20, 2005

I hereby certify that the above-identified national stage application under 35 U.S.C. 371, including the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450: Express Mail Certificate bearing Express Mail Label #EV553658756US; Transmittal letter in triplicate; 17 page specification including 1-page abstract; Figures 1-19 arranged in 10 sheets; a copy of English translation of the PCT request form filed with the JP Receiving Office on January 21, 2004 (Form PCT/RO/101); a copy of a Notification of receipt of record copy (form PCT/IB/301); a copy of a Notification concerning submission or transmittal of priority document (form PCT/IB/304); a copy of Notification of Withdrawal of Internal Application of Designations (Form PCT/IB/307); a copy of the first page of International Application as published on August 5, 2004 under International Publication No. WO 2004/065022A1, including the international Search Report (Form PCT/ISA/210); a copy of a Notification concerning transmittal of a copy of international application as published (Form PCT/IB/311); a copy of a First Notice informing the applicant of the communication of the international application to the designated offices which do not apply the thirty month time limit under article 22(1)(Form PCT/IB/308)(First Notice); a copy of an Information concerning elected offices notified of their election (form PCT/IB/332); a copy of an international Preliminary Examination Report in Japanese (form PCT/IPEA/409); a copy of a Second and Supplementary Notice informing the applicant of the communication of the international application to designated offices which apply the thirty month time limit under 22(1)(Form PCT/IB/308 (Second and Supplementary Notice); a copy of the English translation of the specification as originally filed; a copy of an English translation of Amendment and Reply submitted under Article 34; Declaration and Power of Attorney (executed); Assignment (executed) and cover sheet; Preliminary Amendment; Check in the amount of \$490.00; and Return Postcard.

Printed Name:

Respectfully submitted.

Donald S. Dowden, Reg. No. 20,701

Attorney\_for\_Applicant.

Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400



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SIR:

### **APPLICATION DATA SHEET under 37 CFR 1.76**

We are submitting herewith the following documents:

- 1. Transmittal letter in triplicate;
- 2. 17 page specification including 1-page abstract;
- 3. Figures 1-19 arranged in 10 sheets;
- A copy of English translation of the PCT request form filed with the JP Receiving Office on January 21, 2004 (Form PCT/RO/101);
- 5. A copy of a Notification of receipt of record copy (form PCT/IB/301);
- 6. A copy of a Notification concerning submission or transmittal of priority document (form PCT/IB/304);
- 7. A copy of Notification of Withdrawal of Internal Application of Designations (Form PCT/IB/307);
- A copy of the first page of International Application as published on August 5, 2004 under International Publication No. WO 2004/065022A1, including the international Search Report (Form PCT/ISA/210);
- 9. A copy of a Notification concerning transmittal of a copy of international application as published (Form PCT/IB/311);
- 10. A copy of a First Notice informing the applicant of the communication of the international application to the designated offices which do not apply the thirty month time limit under article 22(1)(Form PCT/IB/308)(First Notice);
- 11. A copy of an Information concerning elected offices notified of their election (form PCT/IB/332);
- 12. A copy of an international Preliminary Examination Report in Japanese (form PCT/IPEA/409);
- A copy of a Second and Supplementary Notice informing the applicant of the communication of the international application to designated offices which apply the thirty month time limit under 22(1)(Form PCT/IB/308 (Second and Supplementary Notice);
- 14. A copy of the English translation of the specification as originally filed;
- 15. A copy of an English translation of Amendment and Reply submitted under Article 34;
- 16. Declaration and Power of Attorney (executed); Assignment (executed) and cover sheet;
- 17. Preliminary Amendment;
- 18. Check in the amount of \$490.00; and
- 19. Return Postcard.

Respectfully submitted,

Donald S. Dowden, Reg. No. 20,701

-Attorney for Applicant
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New York, New York 10036

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JC04 Rec'd PCT/PTO 2:0 JUL 2005

PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES			2710/74696	
DESIGNATED/ELECTED OFFICE (DO/EO/US)			U.S. APPINCATION NO. (If known see 37 CFR 1.5)	
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		10/246764		
INTERNATION PCT/JP	ONAL APPLICATION NO. 2004/000516	INTERNATIONAL FILING DATE 21 January 2004	PRIORITY DATE CLAIMED  23 January 2003	
TITLE OF INVENTION				
A MASKING MATERIAL				
APPLICANT(S) FOR DO/EO/US  Masanori Ogawa and Kuninori Ito  Masanori Ogawa and Kuninori Ito				
Masanori Ogawa and Kuninori Ito  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
5.	. 🚨 ·····			
a. is attached hereto (required only if not communicated by the International Bureau).				
	b. X has been communicated by the International Bureau.			
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	X : washed hearts			
	b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7. X				
<i>'</i> . —	a. X are attached hereto (required only if not communicated by the International Bureau).			
	b. X have been communicated by the International Bureau.			
	c. have not been made; however, the time limit for making such amendments has NOT expired.			
	d. have not been made and will not be made.			
8. <b>X</b>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. <b>X</b>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. 🔲	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:				
11, 🗆	An Information Disclosure Statemer		w. oz OFD 2.29 and 2.24 is included	
12. 🗓	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. 🗓	A preliminary amendment.			
14.	An Application Data Sheet under 37 CFR 1.76.			
15. L	A substitute specification.  A power of attorney and/or change of address letter.			
16. LX.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
1ε 🗆	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. 🕱	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20.	Other items or information:		ed to obtain or retain a benefit by the public, which is to file (and by	
		144 and 4 404 4 402. The information is require	ed to obtain or retain a benefit by the public, which is to life (and by	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, underlying gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC14 Rec'd PCT/PTO 20 JUL 2005

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/JP2004/000516 2710/74696 CALCULATIONS PTO USE ONLY The following fees have been submitted 300 Basic national fee..... 21. 😨 Examination fee **\$ 200** 23. 👿 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.......\$100 **\$ 400** All other situations.....\$500 \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Number of each additional 50 or fraction Extra Sheets **Total Sheets** thereof (round up to a whole number) s 0 x \$250 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 0 claimed priority date (37 CFR 1.492(h)). \$ 0 RATE NUMBER FILED NUMBER EXTRA CLAIMS x \$50 **\$ 0** - 20 = Total claims \$200 \$ 0 x - 3 = Independent claims \$ O' \$360 + MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 900 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 450 SUBTOTAL = \$ 450 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 40 claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 490 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = Amount to be refunded: Amount to be \$ charged: to cover the above fees is enclosed. A check in the amount of \$ 490.00 to cover the above fees. Please charge my Deposit Account No. in the amount of \$ \_\_\_ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. 🗶 Account No. 03-3125A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: SIGNATURE Donald S. Dowden Cooper & Dunham LLP Donald S. Dowden 1185 Avenue of the Americas NAME New-York, New-York-10036----REGISTRATION NUMBER